

JUL 17 2006



General Electric Company Global  
Patent Operation

187 Danbury Road

Suite 204

Wilton, CT 06897

**Fax**

To: USPTO From: Kristina Reilly  
Fax: 571-073-8300 Pages: 96  
Phone: \_\_\_\_\_ Date: July 17, 2006  
Re: IDS CC: \_\_\_\_\_

☐ Urgent ☒ For Review ☐ Please Comment ☐ Please Reply ☐ Please Recycle

RECEIVED  
CENTRAL FAX CENTER

JUL 17 2006

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Maurizio Biagiotti  
Title: MACHINE FOR RAILWAY SWITCHING  
Serial No.: 10/595866  
Art Unit:  
Filing Date: 17 MAY 2006  
Examiner:  
Date: 17 JULY 2006  
Docket No.: 214014

## INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

- [ X ] 1. A list of documents on Form PTO-1449 or Substitute together with copies of each identified document (other than U.S. patents and U.S. patent application publications unless required by the Office) and a translation thereof or a concise explanation of each non-English language document or a Search Report or communication from a non-US patent office or an International Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

This paper is submitted in accordance with:

- [ X ] 2. 37 CFR 1.97(b): [within three months of national, non-CPA filing, prior to first Office Action, on the merits, or prior to first office action after filing an RCE]

- [ ] 3. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and

- [ ] a. The required Certification made in item 5 below; or

- [ ] b. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

## CERTIFICATE OF FACSIMILE

I hereby certify that this correspondence is being sent via facsimile to (571) 273-8300 located at the US Patent and Trademark Office, Office of Initial Patent Examining, Alexandria, VA 23312-1450, on the date indicated below.

By Maurizio Biagiotti  
(Name)

Date July 17, 2006

☐ 4. 37 CFR 1.97(d): [on or before issue fee payment]; and

a) The required Certification is stated in item 5 below; and

b) The \$180.00 fee specified in 37 C.F.R. 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

☐ 5. Certification

☐ a. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or

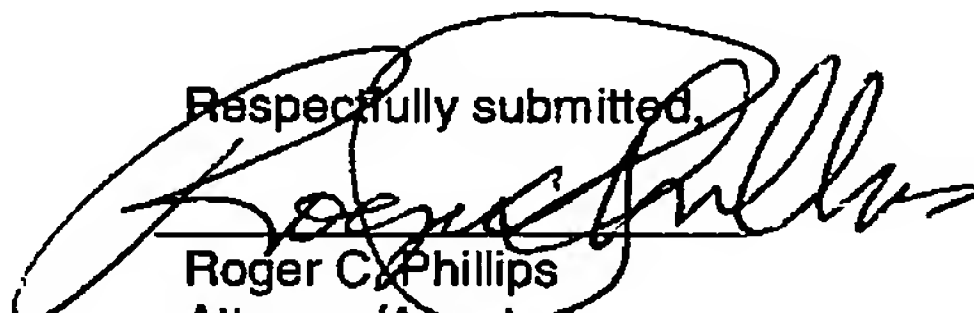
☐ b. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.

☒ 6. Payment of all applicable fees:

Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 090470.

This document is submitted in duplicate.

Respectfully submitted,



Roger C. Phillips  
Attorney/Agent  
Reg. No. 37,418

Address: GE Global Patent Operation  
Suite 204  
187 Danbury Road  
Wilton, CT 06897-4122

RECEIVED  
CENTRAL FAX CENTER

JUL 17 2006

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Maurizio Biagiotti  
Title: MACHINE FOR RAILWAY SWITCHING  
Serial No.: 10/595866  
Art Unit:  
Filing Date: 17 MAY 2006  
Examiner:  
Date: 17 JULY 2006  
Docket No.: 214014

## INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

- [ X ] 1. A list of documents on Form PTO-1449 or Substitute together with copies of each identified document (other than U.S. patents and U.S. patent application publications unless required by the Office) and a translation thereof or a concise explanation of each non-English language document or a Search Report or communication from a non-US patent office or an International Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

This paper is submitted in accordance with:

- [ X ] 2. 37 CFR 1.97(b): [within three months of national, non-CPA filing, prior to first Office Action, on the merits, or prior to first office action after filing an RCE]
- [ ] 3. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- [ ] a. The required Certification made in item 5 below; or
- [ ] b. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

## CERTIFICATE OF FACSIMILE

I hereby certify that this correspondence is being sent via facsimile to (571) 273-8300 located at the US Patent and Trademark Office, Office of Initial Patent Examining, Alexandria, VA 23312-1450, on the date indicated below.

By *Maurizio Biagiotti*  
(Name)

Date *July 17 2006*

☐ 4. 37 CFR 1.97(d): [on or before issue fee payment]; and

a) The required Certification is stated in item 5 below; and

b) The \$180.00 fee specified in 37 C.F.R. 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.

☐ 5. Certification

☐ a. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or

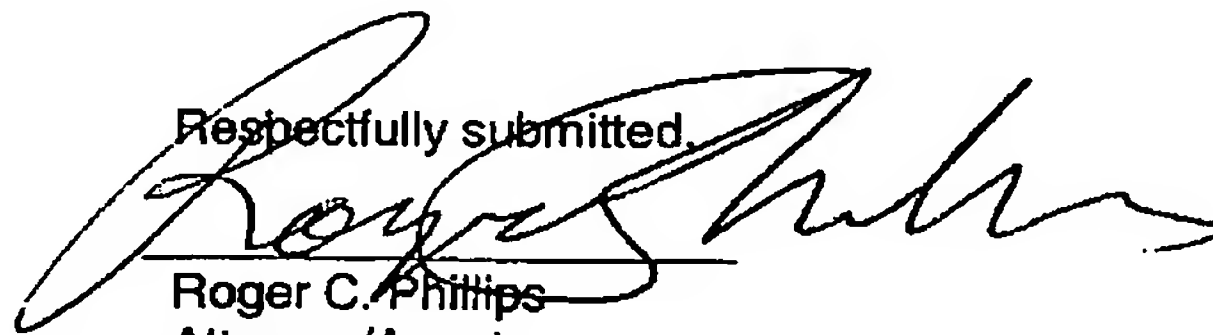
☐ b. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.

☒ 6. Payment of all applicable fees:

Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 090470.

This document is submitted in duplicate.

Respectfully submitted,



Roger C. Phillips  
Attorney/Agent  
Reg. No. 37,418

Address: GE Global Patent Operation  
Suite 204  
187 Danbury Road  
Wilton, CT 06897-4122

JUL 10 2006

PTO/SB/08A (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

~~Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.~~

Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

Sheet	1
-------	---

1



1

**Complete if Known**

Application Number	10/595866
--------------------	-----------

Filing Date	17 MAY 2006
-------------	-------------

First Named Inventor	Maurizio Biagiotti
----------------------	--------------------

### Art Unit

Examiner Name \_\_\_\_\_

Attorney Docket Number	214014
------------------------	--------

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		EP 1245469A	10-02-2002	GE Transp Systems SPA		
		WO 02/055360A	07-18-2002	Vae Eisenbahnsysteme		
		WO 2004/014709A	02-19-2004	Vae Eisenbahnsysteme		
		EP 0467865A	01-22-1992	Silliani Angiolo SPA		
		GB 1535002A	12-06-1978	Int Standard Electric Cor		

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 801.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.18 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*